

Internal Ref. No. \_\_\_\_\_

1

**STATE BANK OF INDIA**

Reference Sr. No.

Branch Code  
Branch Name  
Pl. Open Demat A/C

Br. Manager

Branch Seal

**Application Form for Opening a Demat Account**  
**Individual**  **NRI**  **Foreign National**

Please fill all the details in BLOCK LETTERS in English										Br. Code		Year		Sr. No.			
Application No.						Ref. No.											
Date						Account No.	1	3	0	1	9	3	0	0			

(To be filled by the Depository Participant/Br.)

I/We request you to open a Demat Account in my / our name/s as per the following details :

## Sole / First Holder's Details

First Name																	
Middle Name																	
Father / Husband Name																	
Title	Mr.	Mrs.	Ms.	Other											Suffix		
Correspondence Address																	
City											State						
Country											PIN						
Telephone No.											Fax No.						
PAN / GIR No.																	
IT Circle Ward / District											Mobile No.						
E-mail ID																	
MAPIN Code																	

## Join Holders - Second Holder's Details

First Name																
Middle Name																
Last Name																
Father / Husband Name																
Title	Mr.	Mrs.	Ms.	Other											Suffix	
PAN / GIR no.																
IT Circle Ward / District											Mobile No.					
Date of Birth																
E-mail ID																
MAPIN Code																

## Join Holders - Third Holder's Details

First name																
Middle Name																
Last Name																
Father / Husband Name																
Title	Mr.	Mrs.	Ms.	Other											Suffix	
PAN / GIR No.																
IT Circle Ward / District											Mobile No.					
Date of Birth																
E-mail ID																
MAPIN Code																

**Type of Account (Please tick whichever is applicable)**

Status	Sub-Status
Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)
NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI - Depository Receipts
Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts
<b>Details of Firest Holder</b>	
Date of Birth	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Students <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Other (Specify) _____
I/We authorize you to receive credits in my / our account without Any Instruction from me / us. <span style="float: right;"><input type="checkbox"/> Yes      <input type="checkbox"/> No</span>	
Account Statement Requirement	<input type="checkbox"/> Monthly <input type="checkbox"/> Qtrly

Do you wish to receive dividend / interest directly in to your bank account Given below through ECS? <span style="float: right;"><input type="checkbox"/> Yes      <input type="checkbox"/> No</span>
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**Bank Details**

Bank Code (9 digit MICR Coade)									
Bank Name									
Branch									
Bank Address									
City		State			PIN				
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit								
Account No.									

I/We have read the DP-BO agreement including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We further agree that any false / misleading information given by me / us or suppression of my material information will render my account liable for termination and suitable action.

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			
Passport Size Photograph (Please sign across The Phototgraph)			

**Additional Details**

Permanent Address (If Different from Correspondence Address)					
City	State				
Country	Pin				
Telephone No.	Fax No.				

**Details of Guardian** (If First Holder or Second Holder or Third Holder is a Minor)

First Name					
Middle Name					
Last Name					
Relationship with The applicant					
Correspondence Address					
City	State				
Country	PIN				
Telephone No.	Fax No.				
E-mail ID					

**For NRI's**

Foreign Address					
City	State				
Country	PIN				
RBI Ref. No.	RBI Approval Date				

**Perforated Card**

(To be filled by the Depository Participant)

DP ID	1	3	0	1	9	3	0	0	Client ID								
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	First /Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Specimen Signature <b>(In Black Ink)</b>			

-----**(Please Tear Here)**-----**Acknowledgement Receipt**

Application No.

Date:

I/We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of the Second Joint Holder	
Name of the Third Joint Holder	

**Branch Seal and Signature**



## Instructions for Applicants

1. If the clearing member is a Sole proprietor or an individual, then the Corporate Account Opening Form Should be filled in.
2. Signature can be in English, Hindi or any other languages contained in the 8th schedule of the constitution of India. Thumb Impression and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
3. Details of the Names, address and Te. No. Etc of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of any attention done by them.
4. In case of additional signatures, separate annexures should be attached to the application form.
5. All correspondence / queries shall be addressed to the First / Sole Applicant Only.
6. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
7. Strike off whichever is not applicable.
8. The following documents are to be submitted by the applicants along with the application form:
  - A. Proof of Identity such as Passport / PAN Card / Voter's ID Card / Driving Licence.
  - B. Proof of Residence such as Ration Card / Electricity Bill / Telephone Bill. (Of First holder only)
  - C. Date of Birth Certificate in case of Minors.
  - D. Proof of NRI status.
  - E. HUF form duly signed by Karta and all co-parceners in case of HUF A/c,s.
  - F. Both A and B are mandatory in respect of all holders.
  - G. PAN Card
9. PLEASE GIVE YOUR SPECIMEN SIGNATURE ON THE NEXT PAGE IN **BLACK INK ONLY**.

**NOMINATION FORM**

To,  
**State Bank of India**  
 Depository Services

Dear Sir/Madam,

I/We the sole holder / joint holder / Guardian (in case of minor) hereby nominate the following persons who is entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

**BO Account Details:**

DP ID	1	3	0	1	9	3	0	0	Client ID									
Name of the Sole / First Holder																		
Name of Second Holder																		
Name of Third Holder																		

**Nominee Details**

First Name																		
Middle Name																		
Last Name																		
Address																		
City							State											
Country							PIN											
Telephone No.							Fax No.											
E-mail ID																		
Relationship with BO (if any)																		
Date of Birth (if nominee is a minor)																		

As the nominee is a minor as on date, I/We appoint following persons to act as guardian:

First Name																		
Middle Name																		
Last Name																		
Address																		
City							State											
Country							PIN											
Age																		

To receive the securities in this account on behalf of the nominee in the event of the death if the Sole holder / all joint holders. This nomination is in accordance with the section 109 of the companies act 1956, and shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

Place :

Date:

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signature			

Note : Two Witnesses shall attest Signature(s) Thumb impression(s).

Details of the Witness	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

(To be filled by DP)

Nomination accepted and registered with Registered No. \_\_\_\_\_ Dated \_\_\_\_\_ For Depository Participants  
 (Authorised Signatory)

(1) Wrong information and incomplete nomination form will not be accepted and no separate communication will be made in this respect.

(2) Two witnesses are mandatory. (3) All holders have to sign the form. (4) Nominee should be other than Account Holder/s.

Branch Name  
 Code No.  
 Seal of Branch.

# Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ between **STATE BANK OF INDIA** (description of the legal entity) having his/its office/ registered office at \_\_\_\_\_ hereinafter called "**the Participant**" of the one part; And

\_\_\_\_\_ ( Description of the legal entity) having his / its office/ registered office/ address at \_\_\_\_\_ hereinafter called "the Beneficial Owner" of the Other Part.

**WHEREAS** the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow.

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

## **General Clause**

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

## **Fees, Charges and deposits**

2. The Participants shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid [not exceeding 18% per annum or part thereof] On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

## **Statement of Account**

3. The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. Such statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statement at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

## **Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

## **Participant not liable for claims against Beneficial Owner**

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

## **Authorised Representative**

6. Where the Beneficial Owner is body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

## **Closure of Account**

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

## **Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and /or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes to the other due to causes reasonably beyond its control including but not limited to tide, strom, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion. Revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required ot be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgment of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number of address that shall have been previously specified by the party ot be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notive given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posing. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Mumbai.

**Governing Law**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

In Witness whereof the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SignedAnd Delivered )  
By the withinnamed the )  
Participant (State Bank )  
Of India) by the hand of )  
Its authorised representative )  
Shri \_\_\_\_\_ )

In the presence of )  
Signed And Delivered )  
By the withinnamed the )  
Beneficial Ower )

\_\_\_\_\_ )  
\_\_\_\_\_ )  
In the presence of )