



**DIBRUGARH UNIVERSITY REGULATIONS FOR THE RESEARCH  
COUNCILS AND FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.),  
2009**

(w.e.f. January, 2010)

*(As recommended by the meeting of the Joint Research Council held on 22.10.2009 and approved by the 93<sup>rd</sup> meeting of the Academic Council held on 08.12.2009. Modifications as notified vide Memo No. DU/DR-A/6-1/09/1203 dated 31.12.2009 are incorporated)*

**A. REGULATIONS FOR THE RESEARCH COUNCILS**

1. (i) For the different branches of studies there shall be the following Research Councils :
  - (a) Arts
  - (b) Science
  - (c) Medical Sciences.
- (ii) The Research Council for Arts shall consist of the following:
  - (a) Humanities
  - (b) Social Sciences
  - (c) Law
  - (d) Commerce, Management and Development Studies.
- (iii) The Research Council for Science shall consist of the following:
  - (a) Science
  - (b) Technology
  - (c) Engineering.
  - (d) Pharmaceutical Sciences
- (iv) The Research Council for Medical Sciences shall consist of the subjects approved by the University for Post-Graduate Teaching and Research in Medical Sciences including Homoeopathy and Ayurvedic Sciences.

**Formation of  
the Council:**

2. (A) The *Research Council in Arts* shall consist of the following persons:
  - (i) The Vice-Chancellor - Chairperson
  - (ii) The Rector - Member
  - (iii) The Registrar - Member
  - (iv) All Professors and Heads of the University Teaching Departments in Humanities. Social Sciences and Commerce who are eligible to be Supervisors - Members
  - (v) The Academic Registrar/Dy. Registrar (Academic) - Secretary
- (B) The *Research Council in Science* shall consist of the following persons:
  - (i) The Vice-Chancellor - Chairperson
  - (ii) The Rector - Member
  - (iii) The Registrar - Member

- (iv) All Professors and Heads of the University Teaching Departments in Science, Engineering & Technology, Pharmaceutical Science, and the Heads of P.G. Departments of Jorhat Engineering College and the Principal, Jorhat Engineering College or his nominee, who are eligible to be Supervisors - Members
- (v) The Academic Registrar / Deputy Registrar (Academic) - Secretary
- (C) The **Research Council in Medical Sciences** shall consist of the following persons:
  - (i) The Vice-Chancellor - Chairperson
  - (ii) The Rector - Member
  - (iii) The Registrar - Member
  - (iv) The Principals of Medical, Ayurvedic and Homeopathic College(s), under Dibrugarh University or their nominee who are eligible to be a Supervisor - Members
  - (v) All Heads of Post-graduate Departments of Assam Medical College or their nominees and the Head of the Department of Pharmaceutical Sciences having eligibility to be Supervisors - Members
  - (vi) The Academic Registrar/ Deputy Registrar (Academic) - Secretary

Provided further that the Chairperson may nominate a person(s) as and when necessary to the Research Councils as stated in (A), (B), and (C) above from amongst recognized supervisors drawn from Centres/ Institutions recognized as Research Centres by the Dibrugarh University.

**Functions of the Research Councils**

3. (i) The Research Councils, hereinafter called the 'Council', shall look after, review and plan the Ph.D. programmes in the University on the recommendations of the respective **Departmental Research Committees** (DRCs).
  - (ii) (a) The Council shall consider and approve recommendations of the respective Departmental Research Committees with regard to applications of the candidates as indicated in the Ph.D. Regulations.
  - (b) Shall take decision regarding the admission of the candidates to the Ph.D. programme.
  - (c) Shall scrutinize and approve the Ph.D Research proposals as recommended by the DRCs for registration.
  - (d) Shall scrutinize and approve the topics for M.Phil Dissertation.
  - (e) Shall recognize/approve Ph.D. Supervisors on the basis of recommendations of respective Departmental Research Committees to that effect as provided in the Ph.D. Regulations.
  - (f) Shall receive and forward the Panel of Examiners for the Ph.D. Thesis, to the Chairperson of the Research Council for approval.

(g) Shall go through the Reports of the Examiners of the Ph.D. Theses and recommend holding of Viva-Voce for the Ph.D. candidate concerned.

(h) Shall receive annual Academic Report(s) from the Principal Investigator(s) of the ongoing Research Project(s) in various departments irrespective of the sources of funding.

**Joint Research Council** 4. The Joint Research Council (JRC) shall consist of the members of the Research Council in Arts, Science and Medical Sciences. The Academic Registrar/the Deputy Registrar (Academic) shall be the Secretary.

**Functions of the Joint Research Council** 5. The Joint Research Council –  
 (a) Shall review the policy matters/Regulation pertaining to research leading to Ph.D. and M. Phil degrees.  
 (b) Shall consider applications recommended by the respective Departmental Research Committee for the award of Junior Research Fellowship (JRF) and M. Phil scholarships.

**6. (a) Constitution of the Departmental Research Committee :** There shall be a Departmental Research Committee (DRC) constituted as below in each Department/ Centre for Studies:

Head of the Department - Chairman  
 All eligible Ph.D. Supervisors of the Department - Members

**Note:** With the change of Headship, the Chairperson will also change and there will be an official notification to that effect.

Provided that the prospective Supervisor of the candidate shall be invited to the DRC as a Special Invitee if he/she is not a member of the DRC concerned.

Provided further that if the Head of the Department is not a recognised Supervisor, the Vice-Chancellor will nominate a Chairperson and the Chairperson so nominated will function for a tenure of three years or till the Head of the Department acquires eligibility to be a Supervisor whichever is earlier. Provided that in the case of Departments where the Head of the Department being a single eligible recognised Supervisor, at least two members for the DRC of such Departments shall be nominated by the Vice-Chancellor from the allied Departments in consultation with the Chairperson, DRC.

Provided further that the Vice-Chancellor shall constitute appropriate DRCs for the subjects in which there is no Post Graduate teaching Department in the University.

**Functions of the DRC** 6. (b)(i) The Chairperson, DRC shall place all matters relating to research before the Committee (DRC) before sending the same to the Research Council concerned.

(ii) The Chairperson shall also take action on the procedure for admission as stated under clause 10.

7. An institution may be recognized as a Research Centre of Dibrugarh University if it is recognized as such by the Executive Council and Academic Council of Dibrugarh University. Any institution desiring such recognition shall have to apply to the university with the prescribed application fee. The Research Council concerned shall cause an inspection of the institution with specific terms of reference, after which the report of the inspection team shall be put up for consideration before the Research Council and thereafter at the Academic Council and Executive Council.

A recognized Centre/Institute will have to submit an Annual Report and the Research Council shall have the right to withdraw recognition for non-fulfillment of the

requirements.

## **B. REGULATIONS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)**

**Admission requirements** 8. (i) A candidate (other than candidates from Medical and Engineering streams) seeking admission to the course for the degree of Doctor of Philosophy (Ph.D.) shall have to obtain at least **50% marks in Master's Degree OR equivalent grade point.**

All applicants seeking admission to the doctoral programme of the University [except foreign students applying for admission as provided for under clause 9] must clear the Dibrugarh University Research Admission Test (DURAT) (See Annexure I). Candidates with M. Phil degree (regular mode) and NET-JRF qualified candidates are exempted from appearing in the DURAT.

The DURAT examinations are to be conducted annually by the University. The mode of conducting the DURAT shall be decided by the JRC.

A candidate may also be enrolled if he/she has any other degree recognised as equivalent to the Master's Degree in the subject in which the candidate wishes to pursue a course of research, provided the candidate fulfills the conditions at (i) above.

- (ii) Under special circumstances, a candidate may be allowed by the Research Council to undertake research in a subject other than one in which he/she has obtained Master's Degree. Before permitting the candidate to do so, the Council shall satisfy itself that the branches are inter-related and/or the candidate is competent to undertake research in the particular subject as evidenced by published/practical works.
  - (iii) A candidate must have a Master's Degree in Engineering/Technology with a minimum of 55% marks.
  - (iv) A candidate with a B.E./B.Tech Degree with a valid JRF-GATE score may also be considered for admission to the Ph.D. programme.
  - (v) A candidate must have a Post-graduate degree in any branch of Medical Science with a minimum of 55% marks.
- 9.** Foreign students applying for admission into the programme shall be governed by the regulations provided here. These candidates may be enrolled under the sponsored [that is, nominated by the Govt. of India or a foreign Govt. or institution of international standing] or self-financing categories. It is further required that:
- a) All foreign candidates excepting sponsored candidates may be allowed to take provisional admission in the Ph.D. programme, but he/she must clear DURAT within one year from the date of admission;
  - b) the applicant possesses a valid passport at the time of applying for admission;
  - c) the applicant fulfils the minimum eligibility requirements as provided for in clause 8 (i to vi) above [in case marks are not awarded the student must have at least B+ in arts and humanities

and A in the sciences. It will be the responsibility of the applicant to provide a certified grade conversion formula along with the application from an officer not below the rank of Registrar of a recognized university];

- d) the applicant possesses valid research/student visa and other relevant documents including a Medical certificate from appropriate authority for the prescribed duration of the concerned course at the time of admission;
- e) the antecedents of the candidate be verified by the forwarding authority/ministry/institution and, if found to be in order, a certificate be issued to the effect thereof;
- f) the candidate should have adequate knowledge of English or the language subject in case the course is to be pursued in a language subject [it would be the responsibility of the DRC to satisfy itself on the candidate's knowledge of English or the language as required];
- g) the degrees and certificates of the candidate's country or institution or university have been approved by the Association of Indian Universities (AIU)/Association of Commonwealth Universities (ACU) as equivalent to the corresponding Indian degrees;
- h) All foreign students admitted into the programme shall be full-time students. In the event of a student's inability to be a full-time scholar for the whole period of research leading to submission, he/she must complete a minimum residential requirement of two years before seeking exemption of the residential clause, failing which the registration would be automatically cancelled.

**Admission  
Procedure**

- 10. (i) The eligible candidates shall apply for admission to the Chairperson, Departmental Research Committee at the Department / Centre in which he/she desires to pursue research. The University shall advertise to that effect through newspaper/ University website at least one (1) month ahead from the date of admission.
- (ii) The application for admission shall be made in the prescribed form obtainable from the Office of the Deputy Registrar (Academic) on payment of prescribed fees.
- (iii) The Chairperson, DRC shall place the applications at the meeting of the Departmental Research Committee for scrutiny and selection of candidates. The Departmental Research Committee thereupon shall conduct an interview/viva-voce examination of the applicants and finalize the list of candidates for admission.
- (iv) The eligible Applicants shall have to take admission in the department for a one-semester pre-registration course work as notified by the University.
- (v) For the scholars, who have done M. Phil course in regular mode and in the concerned subject are exempted from the course work.
- (vi) The Departmental Research Committee concerned shall allocate supervisors to each scholar based on the area of interest of the supervisor as well as the scholar at the time of admission.

- Course Work** 11. (i) After having admitted, a scholar shall have to undertake a six-month course work on research methodology in the department/centre, which may include quantitative methods, computer applications, review of literature, etc.
- (ii) The structure of the course work shall be as per the provisions in **Annexure II**.
- (iii) Provided, in exceptional cases, as approved by the Research Council, course may be carried out in the institutes/orgnsisations recognized by the University as research centres either within or outside the University campus for which due credit shall be given to them.
- Provided further that the end-semester examination shall be conducted only in the University.
- Registration** 12. (i) After successful completion of the course work, a student shall submit a synopsis of the proposed research work in triplicate to the Departmental Research Committee concerned through his/her supervisor within three months from the date of declaration of the results.
- (ii) For each research proposal at least one expert from outside the University who is not a recognized supervisor of Dibrugarh University, shall be consulted regarding suitability of the topic of research. Such feasibility report should clearly indicate (a) the status of the topic vis-à-vis the current state of research on the area and (b) whether the topic is suitable for Ph.D. Research.
- (iii) The Chairperson, DRC shall forward the applications along with the feasibility report and recommendations of the Committee to the Secretary of the Research Council concerned –
- (a) for approval of the Research Topic,
- (b) for approval of the name of the Supervisor and
- (c) for registration of the name of the candidate and fixation of the date of effect of registration.
- (iv) The candidates from other Universities/ Institutes must submit Dibrugarh University Registration Certificate to the Deputy Registrar (Academic) within one year from the date of admission.
- Eligibility to be a Supervisor** 13. The following persons shall be eligible to be Supervisors for Ph.D. programmes relating to respective areas of specialization:
- (i) A teacher of any of the University Departments/Centres or officer with a minimum of 1 (one) year teaching experience in the University/ degree colleges, having at least three research papers published in the referred journals of which one must be published after obtaining the Ph.D. Degree, and shall have to be authored by the teacher himself/herself independently, However, in case of not fulfilling the conditions above, proportionate weightage shall be given to the teachers for joint publication.
- (ii) A teacher of affiliated Colleges of the University with adequate research infrastructure (to be ascertained by the Research Council concerned) and a minimum of 1 (one) year teaching experience in the University/ college, having at least three research papers published in the referred journals of which one must be published after obtaining the Ph.D. Degree, and shall

have to be authored by the teacher himself/herself independently, However, in case of not fulfilling the conditions above, proportionate weightage shall be given to the teachers for joint publication.

- (iii) A scholar having Ph.D. as evidenced by extensive research work at recognised State/ Regional/ National level Institutes/ Laboratories or institutions recognized by the University as Research Centres (under clause 7) for a period of not less than five years of which at least three years must be after obtaining Ph.D. Provided that a scholar from an Institute or University which has its own Ph.D. programme shall not be allowed/recognized as a supervisor,
- (iv) Any recognition as provided here would entail that the person shall apply for recognition to the Research Council through the DRC in the concerned subject along with relevant documents. The Research Council shall have the right to accept or reject the applications of individuals as research supervisors.
- (v) Provided further that such recognition be covered under two categories [i.e., supervisors from recognised institutions and unattached independent research supervisors] and shall be further governed by the following requirements:
  - a) that the person seeking recognition as a supervisor has worked in the Centre for at least three years on the date of application;
  - b) that the recognition is in the interest of the University and is expected to enhance and protect the required standards of research of the University through collaboration and joint research ventures.
  - c) that the recognition of such persons would necessarily mean that they are treated as internal examiners of the University.
  - d) that no Supervisor shall be permitted to register Ph.D. scholars beyond the age of 70.
  - e) that in case the applicant is not covered by the clauses [11 (i) to (iv)] above, the applicant shall apply to the Chairperson of the concerned Research Council. The concerned RC may then refer the matter to the relevant DRC or DRCs in case of interdisciplinary subjects before deciding on the matter.
  - f) A recognized Ph.D. Supervisor in the rank of a professor, a Reader and a Lecturer or a Supervisor from a recognized institute/ Centre/ College of equivalent rank shall not be expected to supervise more than 8,6 and 4 research scholars respectively at a time.
  - g) No recognised Supervisor shall be allowed to supervise the Ph.D. programme of any near relative.
  - h) Joint supervision will be allowed *only in exceptional cases* after detailed scrutiny by the DRC and under the following conditions:
    - (a) The research work is interdisciplinary or involves different specialisations within the same discipline.
    - (b) Sub-topics in which supervision is to be provided by each of the guides are clearly stated at the time of submission of the proposal.

- Change of Supervisor** 14. (i) In case the Supervisor of a candidate leaves the University/ Centre/ College/ recognized institutes before the completion of research of the scholar, the Research Council may allow the Supervisor concerned to continue with the Supervision of the research work.
- The change of supervisor shall be permitted only in exceptional cases like death, state of being incapacitated due to illness, mental disabilities, removal from the job and the like by the Research Council. In such cases the contribution of the former/original superior shall be recognized/acknowledged.
- Periodical Report** 15. (i) After the expiry of a period of six months of the registration, the Candidate shall submit a report through the Supervisor to the Chairperson, Departmental Research Committee (DRC) in duplicate (as per format in **Annexure III**). The DRC will review the Progress Report and the Chairperson, DRC will forward the opinion along with recommendations of the DRC to the Research Council for consideration.
- (ii) The submission of six-monthly report after the expiry of every six-months shall be a regular procedure for all research scholars; every candidate shall have to submit the same positively at the end of every six months. Provided that a candidate may be permitted to submit two six-monthly reports together only once during the entire tenure of his/her research on payment of a fine to be fixed by the university. Non-submission of progress report beyond a period of one year may lead to cancellation of his/her registration.
- (iii) The Progress Reports will be reviewed by the Research Council taking note of the opinion of the DRCs from time to time. If at any stage, it is found that a candidate is not making satisfactory progress, his/her registration may be cancelled.
- Language** 16. In all subjects, the thesis shall be written in English.
- However, in the language subjects, however, the thesis may be written in English or in the language concerned, if approved by the Research Council.
- Modification of Title** 17. A candidate may be allowed by the Research Council on the recommendation of DRC to modify the title, provided it does not alter the basic thrust or contention of the research work.
- Change of Topic** 18. A candidate may be allowed by the Research Council to change the topic. In such case, fresh feasibility report on the revised topic shall be necessary and the matter shall be processed through the DRC as stated under clause 2 above.
- Re-registration** 19. (i) A candidate may be allowed by the Research Council on the recommendation of the DRC, to register afresh on expiry of five years from the date of registration.
- (ii) Re-registration shall also be necessary in case of change of topic as stated under Clause 18 above.
- (iii) In all cases of re-registration, the candidate will have to pay the usual registration fee.
- Validity of Registration** 20. A candidate registered for Ph.D. degree may submit the thesis after completion of two years of research work from the date of registration. But he/she shall be required to submit the thesis within five years from the date of registration.



Provided that if a candidate fails to submit the thesis within five years from the date of registration, he/she may apply for re-registration. In such cases ***the thesis shall be submitted not later than two years from the date of re-registration*** failing which his/her registration shall stand cancelled.

**Pre-requisites for submission of Thesis**

21. (i) Every registered candidate shall present ***at least two papers*** during the tenure of his/her research on his/her research work at Seminars organized by the Department/Centre /research centres recognized by the University, where the scholar is working.
- (ii) Every scholar shall have to publish at least one (1) research paper on his/her research work in a referred journal before submission of the thesis and produce evidence to that effect in the form of re-print or acceptance letter.
- (iii) Prior to submission of the abstracts of the thesis, the scholar shall make a seminar presentation in the department/centre based on the findings of his/her research work and that shall be open to all faculty members and students for getting feedbacks and comments, which may be suitably incorporated into the thesis under the advice of the supervisor.

**Submission of Abstracts of Thesis**

22. (i) Prior to the submission of the thesis, the candidate shall write to the Research Council/DRC along with Abstracts in quadruplicate through the Supervisor and the Chairperson, DRC. The Chairperson, DRC shall place the application before the DRC along with the filled-in format as stated in **Annexure IV**. If the DRC is satisfied that the candidate has fulfilled all the requirements in Clause 21 above, the Chairperson, DRC shall forward the quadruplicate copies of Abstracts of the thesis to the Research Council.
- (ii) At the stage of submission of quadruplicate copies of abstracts, the Chairperson, DRC in consultation with the Supervisor of the thesis shall prepare a Panel of ***not less than Seven (7) Experts with full address, designation and broad area of specialisation***, besides the Supervisor(s) and shall submit the same to the Vice-Chancellor. The panel shall consist of at least three (3) experts from outside the state/ country. The Panel ***must contain the initials of the Supervisor and the Chairperson, DRC***. If the Chairperson, RC is satisfied that the Panel is in order in all respects, he/she shall take it up with the Controller of Examinations. The list of external examiners should not contain the names of recognized Ph.D. Supervisors of the University or of any person from the institution where the Supervisor or Co-supervisor is working.

**Appointment of Examiners**

23. (i) The Vice-Chancellor shall appoint two examiners out of this panel of experts at least one from outside the state/ country, besides the Supervisor(s) of the thesis.
- (ii) The Controller of Examinations shall send the Abstracts to the examiners as approved by the Vice-Chancellor as a first step towards the examination of the thesis.

- Submission of Thesis**
24. (i) The scholar shall submit four printed or type-written copies (five copies in case the work is done under joint supervision) of Ph.D. thesis within four (4) months from the date of submission of the abstracts in the prescribed format as per/ in **Annexure V** and **Annexure VI** respectively along with requisite amount of fees.
- (ii) On verification of records, the Deputy Registrar (Academic) shall issue necessary instruction to the candidate to submit the thesis to the Controller of Examinations after paying the prescribed fee for submission of thesis. The Controller will send the thesis to the Examiners approved by the Vice-Chancellor along with a recommendation proforma.
- Examination**
25. (i) The thesis shall be examined in two parts:
- (a) **Written** comments by the Examiners on the thesis submitted;
- While giving detailed comments on the thesis, the Examiner shall recommend that in his/her opinion –
- either, the thesis be accepted,  
(This opinion will be given on the basis of the thesis Examiner's detailed report and it must not contradict the detailed report.)
- or the thesis be revised and resubmitted.  
(In this case, the examiner shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the report of the examiner/examiners who have recommended revision of the thesis.)
- or the thesis be rejected.  
[In this case, reasons for rejection will be given by the Examiner(s).]  
The Examiners shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by the Examiner(s).  
The recommendation, however, is necessary only for publication of the thesis. In case the thesis is not recommended for publication, this will not have any bearing on the award of the degree.
- (iii) In case one Examiner suggest resubmission while the other examiners accept the thesis, the Research Council may ask the candidate to resubmit the thesis along with a prescribed fee after six months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the examiner who had suggested revision.
- (iv) If one of the examiners recommends rejection, then the thesis shall be referred to a Third External Examiner from the Panel. In the case the candidate will have to pay a prescribed fee. If this examiner also recommends rejection, the thesis shall be rejected.
- (v) The examiners shall also specify if viva-voce test would be required.
- (b) **Viva-Voce** Test, if recommended
- (i) The Board of Examiners to conduct Viva-Voce Test shall consist of:
- (1) The Chairperson of the DRC.
  - (2) The Supervisor/Supervisors of the Thesis.
  - (3) One External Examiner of the Thesis to be chosen by the

Vice-Chancellor.

- (ii) In case the Chairperson happens to be the Supervisor of the candidate, the Vice-Chancellor shall nominate a member of the DRC of the Department for the Viva-Voce Board of Examiners.
- (iii) Provided that in case of a Department where there is no other eligible member to be nominated, the Vice-Chancellor will nominate some other member from an allied Department or the Vice-Chancellor himself will chair the proceedings.
- (iv) The Viva-Voce Board of Examiners so formed shall have at least two members, one of whom must be an external examiner of the thesis. Under an exceptional situation some other Expert from the Panel of Examiners may be invited by the Vice-Chancellor in lieu of the External Examiner.
- (iv) Provided that the Viva-Voce test shall be open, and the examiners' report shall be read only by the members of the Board of Examiners and the Viva-Voce report shall be prepared only by the Board of Examiners for conducting the Viva-Voce Test.
- (v) In the event of absence of unanimity amongst the members of the Viva-Voce Board with regard to the award of the Ph.D. degree, the majority decision shall prevail.
- (vi) The Report of the Viva-Voce Test and examiner's report along with the executive summary submitted by the scholar shall be forwarded by the Chairperson, DRC to the Controller of Examinations for necessary action.
- (vii) Provided that in case of rejection by the majority, the candidate shall be required to appear again for the Viva-Voce Test after three months but preferably not later than six months.
- (viii) The Report of the Viva-Voce Test shall be presented to the Executive Council for consideration and conferment of the degree.

**Result**

- 26. (i) The Executive Council on the recommendation of the Board of Examiners of the Viva-Voce Test shall confer the degree of Doctor of Philosophy (Ph.D.) and shall cause the candidate's name to be published immediately with the title of the thesis, name of the Supervisor and the subject to which the thesis belongs.
- (ii) The scholar shall submit one hard bound copy of the thesis along with two soft copies (in CD form) to the Controller of Examinations after incorporating corrections, modifications, etc. as suggested by the experts within one month from the viva-voce examination, which to be duly certified by the supervisor concerned.

**Issue of Certificates**

- 27. (i) A Provisional Certificate shall be issued to the successful scholars certifying that the Degree has been awarded in accordance with the provision to the Regulations of UGC, 2009 after submission of one hard bound copy of the thesis along with the two soft copies (in CD form) to the Controller of Examinations.
- (ii) Original Certificate shall be issued to the successful candidates only after the convocation.

- 28. (i) After the award of the degree, one copy of the thesis shall be preserved

in the University Library duly corrected if necessary, by the candidate in the light of the reports of the Examiners and certified as such by the Supervisor and Chairperson of the DRC concerned.

- (ii) After the award of the Degree, the Controller of Examinations shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in INFLIBNET.

**Publication  
of the Thesis**

29. Thesis accepted by the University must not be published without prior permission of the Registrar of the University.

**Candidates  
access to the  
Report**

30. Copy of the Reports of the Examiners will be made available to the candidates on request and on payment of a prescribed fee.

**General**

31. Matters not covered by the above clauses, shall be decided as and when necessary by the Research Council/Joint Research Council/Academic Council/ Executive Council.

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## Annexure I

### Regulations for Dibrugarh University Research Admission Test (DURAT)

*(As recommended by the meeting of the Joint Research Council held on 22.10.2009 and approved by the Vice-Chancellor under report to the Academic Council on .....)*

1. The Dibrugarh University Research Admission Test (DURAT) would be conducted by a five-member Board, to be called DURAT Board, constituted by the Vice Chancellor for a tenure of two years, one of whom shall be nominated as Chairperson. The Deputy Registrar (Academic) shall be Member-Secretary of the Board.
2. DURAT would be conducted annually, preferably in the month of October/November, final dates for which would be notified in advance by the DURAT Board.
3. DURAT shall be conducted to select eligible candidates for admission to the M.Phil and Ph.D programmes of Dibrugarh University.
4. Candidates applying for DURAT must be eligible for carrying out M.Phil. and Ph.D. at Dibrugarh University.
5. The Board would be responsible for the entire process of holding the test including notification, development of Question Bank, setting of question papers, evaluation of answer-scripts, tabulation and declaration of results.
6. The DURAT shall consist of two papers as below:
  - a. Paper I (General Aptitude Test): The objective of this paper is to test candidate's comprehension on various relevant issues of the faculty concerned. Hence, the question papers for Arts & Humanities and Science & Technology shall be different. This paper shall carry 30 marks and the duration of examination shall be of one (1) hours Each question shall be of short-answer type and shall carry three (3) marks each.
  - b. Paper II (Knowledge on the subject): This paper shall carry 70 marks and the duration of examination shall be of two and half (2½) hours. Questions of this paper shall be on the subject of postgraduate degree. The distribution of marks in this paper shall be five (5) to ten (10) marks for each question.
7. A Question Bank shall be developed making use of inputs from eligible supervisors in different subjects/areas.
8. The DURAT Board would recommend to the Vice Chancellor for approval, the names of two/three members from the list provided by the Departmental Research Committee (DRC) for Moderation of the question papers.
9. All recognized supervisors shall be eligible to act as paper-setters, moderators, tabulators and examiners. DRCs would be requested to submit the panel of examiners for a particular year.
10. The minimum marks for clearing the DURAT shall be 30% in each paper and 45% in aggregate.
11. Validity of the DURAT score shall be for a period of three (3) years.
12. Any matter not covered above shall be decided upon by the DURAT Board with the Vice Chancellor's approval.

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## Annexure II

### COURSE STRUCTURE & EXAMINATION PATTERN OF THE Ph.D. PROGRAMMES

(as per the provisions of DIBRUGARH UNIVERSITY REGULATIONS FOR THE RESEARCH COUNCILS AND FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.), 2009 (w.e.f. January, 2010))

1. There shall be two papers in the One-Semester Course work for the Ph.D. programmes conducted in Dibrugarh University comprising with the following components:

Paper I : Research Methodology

Paper II : Optional

2. The syllabuses for the Ph.D. course work shall be prepared by the DRC concerned and shall come into effect after approval of the Research Council.
3. The distribution of marks of the course work shall be as below:

No./Code	Internal Assessment	End Semester examination	Total
Paper – I	30	70	100 Marks
Paper – II	30	70	100 Marks
Total			200 Marks

In the scale of 2.00 grade points

4. Candidates shall have to secure a minimum of 45% marks in aggregate to pass a paper individually.
5. There shall be internal assessment of 30 marks in each theory paper to be awarded against the following:
  - Sessional Exam I - 10 Marks
  - Sessional Exam II - 10 Marks
  - Seminar Presentation etc. - 10 Marks
6. Examination & Declaration of Results:
  - (a) The internal assessment of a scholar shall be conducted by the course teacher of the scholar concerned. The marks of the Internal assessment shall be submitted to the Controller of Examinations, Dibrugarh University by the supervisor concerned through the DRC.
  - (b) The end semester examinations shall be conducted by the Controller of Examinations, Dibrugarh University with consultation of the DRCs.
  - (c) The result shall be declared by the Controller of Examinations.
  - (d) The examinations shall be conducted as per the existing examination ordinance of the University.
7. The result of the candidates appeared in the examination for Ph.D. Course Work shall be awarded in the following Grade system:

Letter Grade	Quantity	Grade points	Equivalent numerical figure in the 45 scale out of 200 Marks.
A <sup>+</sup>	Excellent	1.5 and above	75% (150 marks) and above
A	Very Good	1.2 and above but below 1.5	60% and above (120 marks) but below 75% (150 marks)
B	Good	0.9 and above but below 1.2	45% and above (90 marks) but below 60% (120 marks)
F	Fail	Below 0.9	Below 45% i.e., below 9.

8. Every candidate shall be given a maximum of two consecutive chances (including the first regular chance) for passing the examination. Not appearing in an examination after becoming eligible to appear in the same amounts to losing a chance.
9. The candidates who have failed the examination in the first chance shall have to clear the same in the second and last chance, which shall be held within three months from the date of declaration of results.  
**A candidate shall have to appear in the second chance only in the failed paper(s) to pass the examination.**
10. The candidates qualified in the One-Semester Course Work with not below the Letter Grade **B** shall be eligible to go for Ph.D. registration.
11. Matters not covered by the above Regulations shall be decided by the Research Council on the recommendation of the Departmental Research Committee.

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**ANNEXURE -III**  
**DIBRUGARH UNIVERSITY Ph.D. PROFORMA**

*for*

**SIX-MONTHLY PROGRESS REPORT**

**(To be submitted by registered Ph.D. Scholars under Section 5 (i) and 5 (ii) of  
Dibrugarh University Ph.D. Regulations)**

**No of the Report (Tick appropriate box):**

1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	----

Period: from \_\_\_\_\_ to \_\_\_\_\_

1. Name of the Scholar : \_\_\_\_\_
2. Date of Registration : \_\_\_\_\_
3. Date of Re-Registration : \_\_\_\_\_  
(if applicable)
4. Topic of Research : \_\_\_\_\_  
\_\_\_\_\_

5. Work done during the period:

- (i) Books consulted including names of libraries visited (attach separate sheet if necessary) :
- (ii) Field Study /Survey/Work conducted :
- (iii) Experiments conducted (attach separate sheet if necessary) :
- (iv) Seminars/Workshops attended or papers presented :
- (v) Publications if any during the period :
- (vi) Any other work done :

Date : \_\_\_\_\_  
Signature of the Ph.D. Scholar

6. Opinion of the Supervisor on the progress made by the scholar:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date : \_\_\_\_\_  
Signature of the Ph.D. Supervisor

**FOR DRC AND OFFICE USE :**

Verified and recommended by the *Departmental Research Committee (DRC)* in  
\_\_\_\_\_ in its meeting held on \_\_\_\_\_

**DATED**

\_\_\_\_\_  
Signature of Chairman, DRC (with seal )

**Placed in the Research Council in \_\_\_\_\_ held on \_\_\_\_\_**

\_\_\_\_\_  
Signature of Dealing Assistant

\_\_\_\_\_  
Signature of Deputy Registrar (Academic)

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**ANNEXURE -IV  
P R O F O R M A**

**TO BE FILLED IN AND SUBMITTED ALONG WITH PH.D. ABSTRACTS**

1. Name of the Scholar: \_\_\_\_\_
2. Date of Registration: \_\_\_\_\_
3. Date of Re-Registration (if applicable): \_\_\_\_\_
4. Title of the Thesis: \_\_\_\_\_
5. Name of the Supervisor(s): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Six-Monthly Progress Reports Submitted (*as per article 5 of Dibrugarh University Ph.D. Regulations*):

Sl. No.	Period	Recommended by the DRC on	Research Council in which the report was placed on recommendation of the DRC (to be filled in by the Office)

7. Details of the papers presented at Department /Regional/National/International seminars during the tenure of Research (at least once a year) on the research work as per article 11 (i) of the Dibrugarh University Ph.D. Regulations (attested photocopies of certificate to be enclosed):

Sl.No.	Title of the Paper	Date & Place of Presentation	Name & Status of the Seminar
1.			
2.			
3.			
4.			
5.			
6.			

8. Paper Published (if any): Enclosed separate sheet.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Signature of the Ph.D. Scholar

9. **Verified and forwarded**

**Signature (s) & Seal (s) of Supervisors (s)**

<p>Verified and forwarded along with panel of examiners :</p> <p>(Signature &amp; Seal) Chairman, DRC in ..... Dibrugarh University</p> <p>Dated : _____</p>	<p>(For office use only) Checked and Verified :</p> <p>Dealing Assistant : Deputy Registrar (Academic) : _____</p> <p>To be placed in the Research Council in _____ held on _____.</p>
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## ANNEXURE -VI

1. All copies of the thesis will be printed or type-written on standard A-4 size paper. The right margin should be 2.5 cm, the left margin 3.75 cm, the top margin 3.75 cm and the bottom margin 3.75 cm. Material should be typed on both sides of the paper. All textual material should be composed in Times New Roman font (12 point) and double-spaced. Different font size and spacing may be used for quotations, footnotes, tables and figures, appendix and index, (if any). Same style and type should be used throughout the thesis. Throughout the manuscript an indentation of five spaces or as required in a standard word processor should be used at the beginning of paragraph and quotations. Dividing of words at the end of the line should be avoided as far as possible.
2. The Maps and drawings may have appropriate size as advised by the Supervisor.
3. The title page (including the cover) should include the following – title of the thesis, name of the degree, logo of Dibrugarh University, name of the author of the thesis, Department/Research Centre under which the student was registered and the year of submission.

The titles should be in capital letters beginning six spaces from the top of the page. If the title is too long to be centered on one line, an inverted pyramid style should be followed, without splitting words or phrases. Below the title, other items may be centered or balanced against the left and right margins of the page. Other items should not be typed in capital letters, only the initials of the principal words be capitalized.

Name of the degree, the year of submission, title of the thesis and short name of the author be embossed / printed also on the spine of the cover of the final copy of the thesis in the hard bond form as stated in clause 5 of this annexure.

4. The Colour of the thesis cover will be given as below:

<b>Faculty</b>	<b>Colour of the cover</b>
Arts, Commerce, Law	Blue
Science, Engineering, Medical	Brown

5. The candidate shall submit four copies (five copies in case of joint Supervision) of the thesis in soft binding. However, a scholar shall have to submit one copy of the thesis in a hard bound form within one month from the date of viva-voce for preservation in the library.

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**DIBRUGARH UNIVERSITY REGULATIONS FOR THE  
RESEARCH COUNCILS AND FOR THE DEGREE OF  
DOCTOR OF PHILOSOPHY (PH.D.),2009**

**(w.e.f. January, 2010)**

*(As recommended by the meeting of the Joint Research Council held on 22.10.2009 and approved by the 93<sup>rd</sup> meeting of the Academic Council held on 08.12.2009. Modifications as notified vide Memo No. DU/DR-A/6-1/09/1203 dated 31.12.2009 are incorporated)*



**DIBRUGARH UNIVERSITY  
DIBRUGARH  
ASSAM**