

## Professor JOHN GAVENTA Curriculum Vitae (Summary)

**PERSONAL DETAILS:**    Date of Birth:    8 July, 1949  
                                  Nationality:    USA and UK (raised in Nigeria)

### SUMMARY

John Gaventa is a political sociologist, educator and civil society practitioner with extensive experience of research, training and organisational leadership across the globe. As an academic researcher, he has worked extensively on areas of international development; citizen participation and action; participatory methods of research and learning; power and empowerment; participatory governance; global citizenship and social movements; and grassroots organisation. As an educator, he has taught in university settings in the US and the UK, and served as a lecturer, facilitator, and trainer with students and practitioners in over 20 countries. Taking a collaborative partnership approach, he has organized and led several large-scale international research programs and action learning networks, including LOGOLink (2000-2006) and the Development Research Centre on Citizenship, Participation and Accountability (2000-2011). He has also served as a leader of civil society organizations, including the US-based Highlander Center (as director) and Oxfam Great Britain (as chair). He has a particular interest in linking research and education to development practice and social change. A former Rhodes Scholar and MacArthur Prize Fellow, he is currently the Director of the Coady International Institute and Vice-President of International Development at St. Francis Xavier University (Antigonish, Nova Scotia, Canada).

### EDUCATIONAL QUALIFICATIONS

1975	D. Phil., Politics, Oxford University (Nuffield College)
1971	B.A., Vanderbilt University, Politics, Sociology, and Philosophy (Magna cum laude; Phi Beta Kappa)

### AWARDS AND HONOURS

Queens List, Order of the British Empire (OBE, 2012)

Tisch Civic Engagement Research Prize, Tufts University (2011)

Rhodes Scholarship (1971-75);

MacArthur Prize Fellowship (1981 – 86);

Five awards for ***Power and Powerlessness*** book, including Woodrow Wilson Book Award from American Political Science Association. This book has now sold over 35,000 copies.

### EMPLOYMENT HISTORY

2011 – present	Director, Coady International Institute, and Vice-President of International Development, St. Francis Xavier University
1996 – 2011	<p>Professor and Research Fellow in the Power, Participation and Social Change Team, Institute of Development Studies, the University of Sussex (promoted to Professor 2004)</p> <ul style="list-style-type: none"> <li>• 2000 – 2011 Director, Development Research Centre on Citizenship, Participation and Accountability – a network of some 60 researchers and NGO practitioners in 8 countries, funded by DFID (approximately £7.5 million over 10 years)</li> </ul>

- 2000 – 2006 Director, Citizen Participation & Local Governance Programme (LOGOLink) – an international network for civil society organizations working on participation and governance issues, with regional partners in 8 countries, funded by the Ford Foundation (approximately \$3 million over 6 years)
  - 1998 – 2005 Team Leader, IDS Participation Group (developed the team from 4 to over 20 people), including fundraising and management of multiple collaborative research and learning programmes
- 1986 – 1996 Professor of Sociology, University of Tennessee, Knoxville, Tennessee  
Assistant Professor (1986-91), Associate Professor (1991-95)
- 1994 – 1996 Co-founder and co-director, Community Partnership Center, University of Tennessee
- 1976 – 1993 Director (1989-93) and co-director of research (1976-1989) Highlander Research and Education Center, an NGO based in the United States with a 75-year history of research and education for development in areas of rights, poverty, the environment, and grassroots leadership training, including linking North and South

### **VOLUNTARY LEADERSHIP EXPERIENCE**

2006 – 2011 Chair, Council of Trustees, Oxfam GB (Council member since 2004)

### **INTERNATIONAL CONSULTANCIES AND TRAINING (SELECTED)**

- 1996 – present Extensive facilitation and training experience with southern NGOs and donor agencies (including DFID, Finnida, Sida, Ford Foundation, SDC, UNDP) in approximately 20 countries
- 2009 – 2010 Department for International Development (UK), Organisation and facilitation of Bellagio Workshop for donors on the new frontiers of citizen-led accountability; research review with Rosemary McGee on impact of such work
- 2008 Review (with Neera Burra) of PRIA's (Society for Participatory Research in Asia) work on participatory governance in India
- 2005 'Assessing Civil Society Participation' project, with Learning By Design, focusing on work of Cordaid, Hivos, Novib and Plan Netherlands
- 2003 – 2006 Neighbourhood Renewal Unit, Office of the Deputy Prime Minister, UK: research and advisory work on community empowerment and local governance
- 1998 – 2005 Ford Foundation: Goals and Indicators for Peace and Social Justice (consulting on internal learning, monitoring and evaluation, 1998-2000); Assessing social justice outcomes of participatory local governance projects (2004-5); Strategies for deepening democracy (2006)

### **SELECTED PUBLICATIONS**

#### **Books**

- forthcoming ***Citizen-Led Innovation for a new economy: Cases from Canada and the USA*** (co-editor with Alison Mathie)
- 2010 ***Globalising Citizen Engagements*** (co-editor with Rajesh Tandon). London: Zed Books.
- 2010 ***Citizen Action and National Policy Reform*** (co-editor with Rosemary McGee). London: Zed Books.

- 2004 – 2010 Series editor, ***Claiming Citizenship: Rights, Participation and Accountability*** (8 volumes). London: Zed Books.
- 2004 ***Unpacking Policy Processes: Actors, Knowledge and Spaces*** (co-editor with Karen Brock and Rosemary McGee), Kampala: Fountain Press and Oxford: Africa Book Collective.
- 2001 ***Global Citizen Action*** (co-editor with Michael Edwards). Boulder, Colorado: Lynne Rienner and London: Earthscan.
- 2000 ***Learning From Change: Issues and Experiences in Participatory Monitoring and Evaluation*** (co-editor with M. Estrella et al.). London: IT Publications.
- 1990 ***We Make the Road By Walking: Conversations on Education and Social Change*** (with Brenda Bell & John Peters, eds.), by Myles Horton and Paulo Freire. Philadelphia, PA: Temple University Press.
- 1990 ***Communities in Economic Crisis*** (with Barbara Smith & Alex Willingham, eds.), Philadelphia, PA: Temple University Press.
- 1983 ***Who Owns Appalachia?*** (with the Appalachian Land Ownership Task Force), Lexington, KY: University of Kentucky Press (Gaventa served as co-coordinator of research and co-author of this publication).
- 1980 ***Power and Powerlessness in an Appalachian Valley***. Oxford, UK: Clarendon and Urbana, IL: Illinois University Press.

#### Journal Articles

- 2013 'The Impact of Transparency and Accountability Initiatives' (with Rosemary McGee), Special issue of ***Development Policy Review***
- 2012 'Mapping the outcomes of citizen engagement' (with G. Barrett), ***World Development* 40** (12): 2399-2410.
- 2011 'Participation makes a difference: But not always how and where we might expect', ***Development Outreach***, April, pp. 72-74.
- 2009 'Learning Global Citizenship? Exploring Connections between the local and the global' (with M. Mayo and A. Rooke), ***Education, Citizenship and Social Justice* 4** (2): 163-177.
- 2009 'States, societies and sociologists: Democratising knowledge from Above and Below', ***Rural Sociology* 74** (1): 30-36.
- 2008 'Constructing Transnational Action Research Networks: Reflections from the Case of the Citizenship DRC' (with L. David Brown), ***Journal of Action Research* 8** (1): 5-28.
- 2006 'Finding the Spaces for Change: A Power Analysis', in Power, Exploring Power for Change, ***IDS Bulletin* 37** (6).
- 2006 'Challenging the Boundaries of the Possible: Participation, Knowledge and Power' (with A. Cornwall) in Power, Exploring Power for Change, ***IDS Bulletin* 37** (6). — Excerpted from a longer essay 'Power and Knowledge', in Reason, P. and Bradbury, H. (eds.), *Handbook of Action Research*, London: Sage.
- 2004 'Participatory Development or Participatory Democracy? Linking participatory approaches to policy and governance', ***PLA Notes* 50**.
- 2004 'Strengthening Participatory Approaches to Local Governance: Learning the Lessons from Abroad', ***National Civic Review*** (Winter).

- 2002 'Towards Participatory Local Governance', *Currents* **28**: 29-35. Also translated into Bahasa, Jakarta: Perkumpulan PraKarsa.
- 2002 'Appalachian Studies in Global Context: Reflections on the Beginnings – Challenges for the Future', *Journal of Appalachian Studies* **6**.
- 2002 'Making Rights Real: Exploring Citizenship, Participation and Accountability,' in Gaventa, J., Shankland, A., and Howard, J. (eds.), *IDS Bulletin* **33** (2): 1–11.
- 2001 'Bridging the Gap: Citizenship, Participation and Accountability' (with A. Cornwall), *PLA Notes* **40**. London: IIED.
- 1998 'Poverty, Participation and Social Exclusion in North and South', *IDS Bulletin* **29** (1): 50-57.
- 1989 'Participatory research in North America', *Convergence - Journal of the International Council on Adult Education* **21** (2-3): 19-28. Also in Fals Borda, O., and Rahman, A. (eds.), 1992, *Breaking The Knowledge Monopoly*, New York, NY: New Horizons Press.
- 1987 'The poverty of abundance revisited', *Appalachian Journal* **15** (1): 24-34.
- 1981 'A citizens' research project in Appalachia, USA' (with B. Horton), *Convergence* **14** (3): 30-42.
- 1978 'Inequality and the Appalachian studies industry', *Appalachian Journal* **5** (3): 322-329.
- 1975 'Appalachian studies from and for social change', *Appalachian Journal* **5** (1): 23–30.

### Chapters in Books

- Forthcoming 'Politics is about Relationships: A Blueprint for the Citizens Century', foreword, Hal Sanders, ed., *A Citizens' Century*. New York: Palgrave MacMillan
- 2014 'Knowledge Democracy, Cognitive Justice and the Role of Universities (with Felix Bivens), in Global University Network for Innovation, *Higher Education in the World 5: Knowledge, Engagement and Higher Education Contributing to Social Change*, pp. 69-73.
- 2014, 'Co-Constructing Knowledge for Social Justice: Lessons from an International Research Collaboration', (with Felix Bivens), in Shefner, J., Dahms, H., Jones, R. and Jalata, A., *Social Justice and the University: Globalization, Human Rights and the Future of Democracy*. New York, NY: Palgrave MacMillan, pp. 149-174.
- 2012 Introduction to Chapter 3: 'Mining, Medicos, and Movies: Local to Global, 1975-1985', in **Helen Matthews Lewis: Living Social Justice in Appalachia**. Lexington, KY: The University Press of Kentucky.
- 2011 'Civil Society and Power', in Michael Edwards (ed.), *The Oxford Handbook on Civil Society*. New York: Oxford University Press, pp. 416-427.
- 2011 'Power and Participation', in Andrea Cornwall and Ian Scoones (eds.), *Revolutionising Development: Reflections on the work of Robert Chambers*, London: Earthscan, pp. 67-73.
- 2010 'Seeing Like a Citizen: Re-claiming Citizenship in a Neoliberal World', in Alan Fowler and Chiku Malunga (eds.), *NGO Management: The Earthscan Companion*. London: Earthscan.

- 2010 'Power and Participation' (with Jethro Pettit), in **The Handbook on Civic and Political Leadership**. London: Sage.
- 2008 'Power and knowledge' (with Andrea Cornwall), in P. Reason & H. Bradbury (eds.), **Handbook of action research: Participative inquiry and practice. 2<sup>nd</sup> Edition**. London: Sage. (revised and updated from 2001 version).
- 2007 'Levels, Spaces and Forms of Power: Analysing Opportunities for Change', in Berenskoetter F. and Williams, M.J. (eds.), **Power in World Politics**, London: Routledge.
- 2006 'Perspectives on Participation and Citizenship,' in Mohanty, R. and Tandon, R. (eds.), **Participatory Citizenship**, New Delhi: Sage Books.
- 2005 'Participation in Governance' (with Andrea Cornwall), in Huque, A.S. and Zafarullah, H. (eds.), **International Development Governance**, London: Taylor and Francis.
- 2004 'Perspectives on Participation,' in **Developing Participation: Challenges for Policy and Practice in Approaches to Poverty Reduction and Democratisation**, Collegium for Development Studies, University of Uppsala.
- 2004 'Towards Participatory Governance: Assessing the Transformative Possibilities', in Hickey, S. and Mohan, G. (eds.), **From Tyranny to Transformation**, London: Zed Books.
- 2004 'At the Confluence of Two Streams: Poverty Reduction Policy at the Federal Level in Nigeria' (with Brock, K.), in Brock, K., McGee, R., and Gaventa, J. (eds.), **Unpacking Policy Processes: Actors, Knowledge and Spaces**.
- 2004 'Civil Society Engagement in the Federal Poverty Reduction Policy Process' (with Brock, K., and Taiwo, S.), in Brock, K., McGee, R., and Gaventa, J. (eds.), **Unpacking Policy Processes: Actors, Knowledge and Spaces**.
- 2003 'From Policy to Power: Revisiting Actors, Knowledge and Spaces' (concluding chapter), in Brock, K., McGee, R., and Gaventa, J. (eds.), **Unpacking Policy Processes: Actors, Knowledge and Spaces**.
- 2002 'Mainstreaming Participation in Development' (with Blackburn, J., and Chambers, R.), **OED Working Paper Series**, No. 10, The World Bank: Washington DC. Also published as book chapter: 'Mainstreaming Participation in Development' (with Blackburn, J., and Chambers, R.), in Hanna, N., and Picciotto, R. (eds.), 2002, **Making Development Work**. London: Transaction Publishers, pp. 61 – 82.
- 2002 'Participatory Research in North America and India' (with Merrifield, J.), in Tandon, R. (ed.), **Participatory Research: Revisiting the Roots**, New Delhi, India: Mosaic Books, pp. 122-137.
- 2001 'Participation, Citizenship and Local Governance' (with Valderrama, C.), in **Enhancing Ownership and Sustainability: A Resource Book on Participation**, IFAD, ANGO and IIRR, pp. 265-271.
- 2001 'Global Citizen Action: Lessons and Challenges', in Gaventa, J., and Edwards, M. (eds.), **Global Citizen Action**, Boulder, Colorado: Lynne Rienner and London: Earthscan, pp. 275-288.
- 2001 'Power and Knowledge' (with Cornwall, A.), in Reason, P., and Bradbury, H. (eds.), **Handbook of Action Research: Participative Inquiry and Practice**, London: Sage.
- 2000 'Learning To Change by Learning From Change' (with Blauert, J.), in Estrella, M. et al. (eds.), **Learning from Change**, London: Practical Action, IDRC, pp. 229-243.

- 1999 'Citizen knowledge, citizen competence and democracy building', in Elkin, S. (ed.), **Democracy and Citizen Competence**, University Park: Penn State Press. Previously published in *The Good Society* 5 (3): 28-35.
- 1998 'Crossing the Great Divide: Buildings Links Between NGOs and Community Based Organisations in North and South', in Lewis, D. (ed.), **NGOs and Voluntary Organisations: North and South Learning From Each Other**, London: Earthscan. Reprinted in Edwards, M., and Fowler, A. (eds.), 2002, **Earthscan NGO Management Reader**, London: Earthscan.
- 1998 'Scaling Up: Participatory Monitoring and Evaluation of a Federal Empowerment Program' (with Creed, V., and Morrissey, J.), in Whitmore, E. (ed.), **Understanding and Practicing Participatory Evaluation**, Jossey Bass Publishers, Number 80, Winter 1998, pp. 81-94.
- 1998 'Scaling Out, Scaling Up and Institutional Change: Lessons and Challenges', in Blackburn, J., and Holland, J. (eds.), **Who Changes? Institutionalising Participation in Development**, London: IT Publications.
- 1998 'The Political Economy of Land Tenure: Appalachia and the Southeast', in Jacobs, H.M. (ed.), **Who Owns America? Social Conflict Over Property Rights**, Madison: University of Wisconsin.
- 1993 'The state, rural policy and rural poverty', by State and Rural Policy Task Force, Rural Sociological Society Task Force on Persistent Rural Poverty, **Persistent Poverty in Rural America**, Boulder, CO: Westview Press, pp. 292-326.
- 1993 'Overcoming constraints to ill-health in Appalachia: Community-based links with the world', **Global Learning for Health**, National Council for International Health, pp. 159-166.
- 1993 'The powerful, the powerless and the experts: Knowledge struggles in a information age', in Park, P., Hall, B., and Jackson, T. (eds.), **Participatory Research in North America**, Amherst, MA: Bergin and Hadley.
- 1991 'The deindustrialization of the textile south' (with Smith, B.), in Leiter, J., Schulman, M., and Zingraff, R. (eds.), **Hanging by a Thread: Social Change in Southern Textiles**, Ithaca, NY: ILR Press.
- 1990 'Myles Horton and Paulo Freire: An introduction' (with Bell, B., and Peters, J.), in Myles Horton and Paulo Freire, **We Make the Road By Walking**, Philadelphia, PA: Temple University Press pp. xv-xxxvii.
- 1990 'From the mountains to the *maquiladoras*: A case study of capital flight and its impact on workers', in Gaventa, J. et al. (eds.), **Communities in Economic Crisis**, Philadelphia, Pennsylvania: Temple University Press, pp. 85-96.
- 1990 'Toward a new debate: development, democracy and dignity' (with Smith, B., and Willingham, A.), in Gaventa, J. et al. (eds.), **Communities in Economic Crisis**, Philadelphia, Pennsylvania: Temple University Press, pp. 279-291.
- 1989 'Rural area development: Involvement by people' (with Lewis, H.), **Forum for Applied Research and Public Policy** 4 (3): 58-62.
- 1989 'La influencia de los factores ambientales en la movilidad del capital: estudio de caso de la region de los montes appalaches', in Gonzalez-Arechiga, B., and Barajas Escamilla, R. (eds.), **Las Maquiladoras: Ajuste Estructural y Desarrollo Regional**, Tijuana, Mexico: El Colegio de la Frontera Norte and Fundacion Friedrich Ebert, pp. 185-201.

- 1987 'Makt och deltagande', in Olof Pettersson, R. (ed.), **Makt Bergreppet**, Stockholm, Sweden: Carlsson Press, pp. 27-36. (Swedish translation and publication of Chapter One of **Power and Powerlessness**).
- 1984 'Land reform in Appalachia', in Geisler, C., and Popper, F. (eds.), **Land Reform, American Style**, Totowa, New Jersey: Rowman and Allanheld, pp. 233-244.
- 1984 'Land ownership, power and powerlessness in the Appalachian highlands', in Beaver, P., and Purrington, B. (eds.), **Cultural Adaptation to Mountain Environments**, Athens, GA: University of Georgia Press, pp. 142-155.
- 1980 'Land ownership in Appalachia, USA: A citizens' research project'. Paper delivered at International Forum on Participatory Research, International Council on Adult Education and UNESCO, Ljubljana, Yugoslavia, 13-21 April. Reprinted in Erasmie, T. (ed.), **Adult Education II: Research for the People, Research by the People**, Linköping, Sweden: Linköping University.

### **IDS Working Papers**

- 2010 'So What Difference Does it Make? Mapping the Outcomes of Citizen Engagement', **IDS Working Paper 347**.
- 2010 'Power and Participation' (with Jethro Pettit), in the **Handbook on Civic and Political Leadership**, Sage Publications.
- 2009 'Spanning Citizenship Spaces through Transnational Coalitions: The Case of the Global Campaign on Education' (with Marjorie Mayo), **IDS Working Paper 327**.
- 2008 'Constructing Transnational Action Research Networks: Observations and Reflections from the Case of the Citizenship DRC' (with L. David Brown), **IDS Working Paper 302**.
- 2006 'Triumph, Deficit or Contestation: Deepening the Deepening Democracy Debate', **IDS Working Paper 264**.
- 2002 'Concepts of Citizenship' (with Jones, E.), **IDS Development Bibliography 19**.
- 2001 'Power, Knowledge and Political Spaces in the Framing of Poverty Policy' (with Brock, K., and Cornwall, A.), **IDS Working Paper 143**.
- 2001 'Bringing Citizen Voice and Client Focus into Service Delivery' (with Goetz, A.M.), **IDS Working Paper 138**.
- 2000 'From Users and Choosers to Makers and Shapers: Repositioning Participation in Social Policy' (with Cornwall, A.), **IDS Working Paper 127** and **IDS Bulletin 31** (4): 50-62.
- 1998 'Who Counts Reality? Participatory Monitoring and Evaluation: A Literature Review', with Estrella, M., **IDS Working Paper 70**. Also in Spanish as '¿Quién da cuenta de la realidad? Monitoreo y Evaluación Participativos: Revisión Bibliográfica' (2001).

### **Policy Briefs and Other Articles**

- 2011 'Participation makes a difference: But not always how and where we might expect'. **Development Outreach**, April, pp. 72-74.
- 2011 'Blurring the Boundaries: Citizen Action Across States and Societies', Summary of **Findings from a Decade of Collaborative Research on Citizen engagement**, Citizenship DRC (support role to Nicholas Benequista).
- 2010 **www.powercube.net** – specially designed web site on power analysis for social change.

- 2008 Obituary for Orlando Fals Borda, **Footnotes: A Publication of the American Sociological Association**, Vol. 36, Issue 8 (November).
- 2010 'Putting Citizens at the Centre: Linking States and Societies for Responsive Governance: A policy-makers guide to the research of the Development Research Centre on Citizenship, Participation and Accountability'. Prepared for the Conference on **'The Politics of Poverty, Elites, Citizens and States'**, sponsored by the UK Department for International Development (support role to Nicholas Benequista).
- 2009 'Excerpts from Evaluation Report Governance Where People Matter' (with Neera Burra), **Participation and Governance (2)** 1: 72-81.
- 2009 'Reversing the flow a new democratic conversation' (with Nicholas Benequista), **Alliance 14** (2): 56-59.
- 2009 'Democracy – Support: From recession to innovation' (with Nicholas Benequista), article on Open Democracy website (<http://www.opendemocracy.net/article/idea/democracy-support-from-recession-to-innovation>).
- 2008 'Building Responsive States: Citizen Action and National Policy Change', **Focus Policy Briefing Issue 05**.
- 2007 'Mapping the public policy landscape, from Local to global', **ESRC Seminar Series Reports**.
- 2007 'Champions of Participation: Engaging Citizens in Local Governance' (with Alison Dunn), **International learning event report**, Development Research Centre on Citizenship, Participation, and Accountability.
- 2007 'Making the most of the Policy Moment: Citizen Engagement in the UK' (with Tricia Zipfel), **Local Governance Brief, Policy Journal of the Local Government and Public Service Reform Initiative 9** (1): 12-17.
- 2007 'Learning from international experience of citizen participation in local government' (with Tricia Zipfel), **Transforming Neighbourhoods, A collection of stories about community empowerment**, The Young Foundation, pp. 75-89.
- 2007 contributing editor, GSDRC Voice and Accountability Guide (<http://www.gsdr.org/index.cfm?objectid=0451416B-B643-1726-1FEDD300B80718AC>).
- 1996 'Researching for Democracy and Democratising Research?' (with Ansley, F.), **Change: The Magazine of Higher Learning 29** (1): 46-53.
- 1996 'Working together for a Change: Social Capital as a Pathway from Poverty', **Pathways from Poverty Educational Network Report**, Northeast Regional Center for Rural Development, University Park: Pennsylvania State University.
- 1996 'The benefit of participatory evaluation', in Stone, R. (ed.), **Core Issues in Comprehensive Community-Building Initiatives**, Chicago: Chapin Hall Center for Children at the University of Chicago, pp. 62-64.
- 1995 'The south today: Rural poverty and social capital', in **The Changing American Countryside: Past, Present and Future**, Corvallis: Western Rural Development Center, Oregon State University, pp. 53-62.
- 1995 'Empowering people: Goals and realities' (with Morrissey, J. and Edwards, W.), **Forum for Applied Research and Public Policy 10** (4): 116-121.

#### Research Reports and Consultancy Research

- 2011 'Shifting power? Assessing the impact of transparency and accountability initiatives' (with Rosemary McGee), **IDS Working Paper No. 383**.



- 2010 **Review of Impact and Effectiveness of Transparency and Accountability Initiatives: Synthesis Report** (with Rosemary McGee and other research partners), commissioned by the UK Department for International Development. London: Open Society Foundation.
- 2006 **Assessing the Social Justice Outcomes of Participatory Local Governance**, a project of the Ford Foundation Local Governance Learning Group (LOGO).
- 2002 **Poverty Knowledge, Actors and Policy Processes: Preliminary Findings of the Nigeria Study** (with Brock, K. and other research partners), prepared for Dissemination Workshop Abuja, Nigeria, May 9.
- 1999 **Tracking Change: A 'Primer' on Goals and Indicators for use in writing the Program Officer's Memorandum (POM)**.
- 1998 Project coordinator, **Rural Empowerment Zones/Enterprise Communities: Lessons from the Learning Initiative**. Findings and Recommendations of the Community Partnership Center EZ/EC Learning Initiative prepared for the USDA and the Ford Foundation. University of Tennessee, Knoxville.
- 1997 **Preliminary Findings from Phase I of the Rural EZ/EC Evaluation Project** (with Morrissey, J., and Creed, V.), mimeo, Community Partnership Center, University of Tennessee, Knoxville.
- 1997 **A Community-Based Evaluation of the Rural Empowerment Zone/Enterprise Communities Programme: Findings by the EZ/EC National Learning Initiative**, Volume 1 (with Morrissey, J.).
- 1996 **Leadership Development and Community Organising** (with Bell, B.), in **Grassroots Leadership Development in the South: an Overview with Findings from the Field**, Mary Reynolds Babcock Foundation, Winston-Salem, North Carolina.
- 1994 **National Empowerment Zone and Enterprise Community Evaluation Phase I Report**, two volumes (with Morrissey, J., and Edwards, W.), published by the Community Partnership Center, University of Tennessee.
- 1993 Project Director, **Dismantling Barriers: Rural Communities, Citizen Participation, and the Solid Waste Policy Dilemma** (with Morrissey, J., Norris, L., and the staff of the Highlander Center).
- 1989 **Participatory Education and Grassroots Development: Case Study from Appalachia, USA** (with Lewis, H.), prepared for Resource Systems Institute, East-West Center, as background paper for International Conference on 'People's initiatives to overcome poverty'. Reprint in Gatekeeper Series, London: International Institute for Environment and Development, 1991.
- 1988 **The Jellico Handbook: A Teacher's Guide to Community Based Learning** (with Lewis, H.), prepared for the Highlander Economic Education Project with funds from the Fund for the Improvement of Post-secondary Education.
- 1988 **From the Mountains to the Maquiladoras: A Case Study of Capital Flight and Its Impact on Workers**, prepared for the Highlander Center Project on the Changing Economy of the South and for the Ford Foundation.
- 1987 **The Deindustrialization of the Tennessee Economy** (with Wiley, P.), prepared for the Georgia, Tennessee, Alabama Joint Board of the Amalgamated Clothing and Textile Workers Union with funds from the Ford Foundation.
- 1986 Research consultant and principal author, **Economic Transformation: The Appalachian Case**, prepared for the Economic Crisis Task Force, Commission on Religion in Appalachia.

- 1983      **Our Own Worst Enemy: The Impact of Military Production in the Upper South** (with Schlesinger, T., and Merrifield, J.), Highlander Center.
- 1980      **Appalachian Land Ownership Study**, 7 volumes, prepared and submitted to the Appalachian Regional Commission by the Appalachian Land Ownership Task Force. (Co-coordinator of the overall project and co-author of Volume 1, Overview).
- 1978      **Coal Productivity and the Community: The Impact of the National Energy Plan in the Eastern Coalfields** (with Lewis, H., and others), report for the National Science Foundation.

### Reviews

- 2011      'A response to 'Powercube: Understanding power for social change', *Journal of Political Power* 4 (2): 309-316.
- 2009      Book review of Jonathan A. Fox, **Accountability Politics: Power and Voice in Rural Mexico**, *Journal of Peasant Studies* 36 (3): 707-724.
- 2001      Reviews of **Neighborhood Planning and Community-Based Development** and **Street Level Democracy**, *Community Development Journal* 36 (1): 78-80.
- 1998      Review of **Community Development Around the World**, *Community Development Journal* 33 (3): 272-4.
- 1993      Review of **Power and Empowerment: A Radical Theory of Participatory Democracy**, *American Political Science Review* 7 (2): 473.
- 1992      Book Review of **Domination and the Art of Resistance: Hidden Transcripts**, *Contemporary Sociology* 21 (3): 344-345.
- 1992      Review of **Handbook of Clinical Sociology**, *Social Forces* 70 (4): 1127-1128.
- 1985      'Blacks in Appalachia', *Georgia Historical Quarterly* 69 (3): 421-424.
- 1982      'TVA and the dispossessed', *Tennessee Law Review* 49 (4): 979-983.